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**Patentanmeldung Nr.    Patent application No.    Demande de brevet n°**

99400475.2

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

**I.L.C. HATTEN-HECKMAN**

DEN HAAG, DEN  
THE HAGUE,    28/10/99  
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**Blatt 2 der Bescheinigung  
Sheet 2 of the certificate  
Page 2 de l'attestation**

Anmeldung Nr.:  
Application no.:  
Demande n°: 99400475.2

Anmeldetag:  
Date of filing: 26/02/99  
Date de dépôt:

Anmelder:  
Applicant(s):  
Demandeur(s):  
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Bezeichnung der Erfindung:  
Title of the invention:  
Titre de l'invention:  
Filtering a collection of samples

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

Staat:  
State:  
Pays:

Tag:  
Date:  
Date:

Aktenzeichen:  
File no.  
Numéro de dépôt:

Internationale Patentklassifikation:  
International Patent classification:  
Classification internationale des brevets:

/

Am Anmeldetag benannte Vertragsstaaten:  
Contracting states designated at date of filing: AT/BE/CH/CY/DE/DK/ES/FI/FR/GB/GR/IE/IT/LI/LU/MC/NL/PT/SE  
Etats contractants désignés lors du dépôt:

Bemerkungen:  
Remarks:  
Remarques:

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Filtering a collection of samples.

#### FIELD OF THE INVENTION

The invention relates to filtering a collection of samples. Such filtering may be applied, for example, in image processing prior to an image-processing operation like up-sampling, down-sampling or warping.

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#### BACKGROUND ART

WO-A-98/06064 (attorney docket no. PHB 34.096) describes post-processing of a computer-generated image of a three-dimensional object for the display as a pixel image. A focus depth is specified either by the user or from within the system for each image frame. The image pixels are grouped into non-overlapping blocks of adjoining image pixels with a single respective depth value pre-specified or calculated at run-time for each block. Each block is extracted in turn from the image and the pixels within a block are filtered with a common set of filter coefficients. Padding may be applied to fill areas where a full filter kernel cannot be formed. An example of padding is repeating pixel edge values or "wrapping round" values from the opposite edge of the block in order to provide sufficient values of the right general order to construct a filter kernel centered on those pixels in the boundary area.

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#### SUMMARY OF THE INVENTION

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It is an object of the invention that a wide variety of collections of samples can be satisfactorily filtered.

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The invention takes the following aspects into consideration. Filtering a collection of samples usually implies that for each sample a cluster of samples is formed, the cluster comprising the sample itself and neighboring samples. A filtered sample is derived from the cluster, for example by applying a certain function to the cluster of samples. The filtered sample thus obtained is associated with the sample for which the cluster was formed.

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For the purpose of filtering, it is convenient to structure a collection of samples such that clusters of samples can easily be formed. For example, let it be assumed that a collection of samples represents a two-dimensional image. It is then convenient to structure the collection of samples as a matrix.

Let it be assumed that a structured collection of samples represents a multi-dimensional entity having an irregular shape. This implies some that some samples are

valid because they belong to the entity, whereas other samples are not valid because they do not belong to the entity. For example, an image may be a composition of various arbitrary-shaped object. Let it be assumed that the image is represented by a collection of samples which is structured as a matrix. The collection of samples constitutes, as it were, a rectangular bounding box which contains the image. Some samples will belong to the image and will thus be valid, whereas other samples will not belong to the image and will thus not be valid.

The following problem may occur in filtering a structured collection of samples which represents a multi-dimensional entity having an irregular shape. Some filtered samples will be derived from clusters comprising one or more samples which are valid because they do belong to the entity, and one or more samples which are not valid because they do not belong to the entity. That is, some filtered samples will, in effect, be mixtures of valid and non-valid samples. The filtered samples will thus represent the entity with a certain degree of distortion. This distortion will be relatively severe if non-valid samples substantially differ in value from valid samples.

In accordance with the invention, a distinction between valid samples and non-valid samples is made on the basis of auxiliary data. Filtered samples which are associated with the valid samples, are derived exclusively on the basis of the valid samples.

Accordingly, in the invention, it is prevented that the filtered samples which are associated with the valid samples, are affected by a non-valid sample. Thus, supposing that the collection of samples represents an entity with samples belonging to the entity and samples not belonging to the entity, it can be prevented that filtering the collection of samples entails a distortion of the entity. Consequently, the invention allows a satisfactory result in terms of distortion without this requiring that all samples are valid or that any non-valid sample does not substantially differ in value from the valid samples. Thus, the invention allows that a wide variety of collections of samples can be satisfactorily filtered.

The invention and additional features, which may be optionally used to implement the invention to advantage, are apparent from and elucidated with reference to the drawings described hereinafter.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a conceptual diagram illustrating basic features of the invention as claimed in claim 1;

Fig. 2 is a block diagram illustrating an example of an MPEG-4 image processing arrangement in accordance with the invention; and

Fig 3 is a conceptual diagram illustrating how a stream of image samples can be formed in the MPEG-4 image processing arrangement illustrated in Fig. 2.

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#### DETAILED DESCRIPTION OF THE DRAWINGS

First, some remarks will be made on the use of reference signs. Similar entities are denoted by an identical letter code throughout the drawings. Various similar entities may be shown in a single drawing. In that case, a numeral is added to the letter code so as to distinguish similar entities from each other. The numeral will be  
10 between parentheses if the number of similar entities is a running parameter. In the description and the claims, any numeral in a reference sign may be omitted if this is appropriate.

Fig. 1 illustrates basic features of the invention. A collection COL of samples  $S_i$  is filtered in the following manner. A distinction DIS is made between valid samples  $S_i^+$   
15 and non-valid samples  $S_i^-$  on the basis of auxiliary data AUX. Filtered samples  $S_o$  which are associated with the valid samples  $S_i^+$ , are derived DER exclusively on the basis of the valid samples  $S_i^+$ .

There are various manners in which the filtered samples that are associated  
20 with the valid samples, may be exclusively derived from the valid samples. For example, let it be assumed that a cluster of samples is formed so as to derive a filtered sample. It is then possible to make a weighed combination of the valid samples in the cluster only, the weighing factors depending on the number of valid samples in the cluster. This may be realized by means of a filter of variable length, with a different set  
25 of filter coefficients for each length. However, such a filter is generally relatively costly. It is therefore preferable to use a filter of fixed length.

A fixed-length filter can be used in the following embodiment. A filtered sample is derived from a set of filter input values which is fixed in size. Each filter input value is associated with a specific sample. If the sample is valid, the value of the sample is  
30 taken as the filter input value. If the sample is not valid, a padding value is taken as the filter input value. The padding value being derived from at least one valid sample. For example, the padding value could be the mean value of all valid samples. However, such a calculation is generally time-consuming and requires a relatively large memory.

A relatively fast padding value calculation can be done as follows. A cluster of  
35 samples is formed. A padding value is calculated on the basis of valid samples in the cluster. A set of filter values is formed by taking for each valid sample the value of that

sample and by taking for each non-valid sample the padding value. A filtered sample is derived from the cluster of filter input values.

The features illustrated in Fig. 1 may be applied, for example, to process image data which is composed of texture data and shape data. Such a composition will be used in a video coding standard commonly referred to as MPEG-4. In MPEG-4, the texture data is formed of by one or more blocks of image samples. The shape data is formed by a bitmap in which each bit is associated to a specific image sample. The value of the bit indicates whether or not the image sample concerned belongs to an object, or a composition of objects, which the image data represents. The bits of the bitmap, which constitutes the shape data, will hereinafter be referred to as shape bits.

Fig. 2 illustrates an MPEG-4 image processing arrangement which recaptures the features illustrated in Fig. 1. The image processing arrangement comprises a receiving section REC, a filter arrangement FAR and an image processor PROC. In more detail, the filter arrangement FAR comprises a sample memory MEMSMPL, a shape memory MEMSHP, a padding-value calculator PVC, a multiplexer MUX and a filter FIL.

The image processing arrangement operates as follows. The receiving section REC receives image data ID. The image data ID contains one or more blocks of image samples representing an object or a composition of objects. The image data ID further contains a bitmap associated to the one or more blocks of image samples. The receiving section REC provides a stream of image samples ISS and a stream of shape bits SBS. The streams are synchronized. That is, when the input section provides an image sample, it simultaneously provides the shape bit associated to that image sample.

Fig. 3 illustrates how the stream of image samples ISS is formed. Since the stream of shape bits SBS is synchronous with the stream of image samples ISS, both have the same format. Fig. 3 shows a matrix of composed of true image samples PX and filler image samples PF. The true image samples PX originate from the image data ID. The filler image samples PF have been added to the true image samples in order that the filter arrangement FAR provides a number of filtered image samples corresponding to the number of image samples contained in the input data ID. The streams of image samples ISS is formed by scanning, as it were, each row from the matrix concerned from the left the to the right. The scanning starts at the upper row and ends at the bottom row. With each filler image sample PF, the receiving section REC simultaneously provides a shape bit indicating that the filler image sample PF is not valid.



The filter arrangement FAR successively forms different clusters of image samples and clusters of shape bits associated thereto, and derives a filtered image sample from each cluster of image samples. The sample memory MEMSMPL and the shape memory MEMSHP are first-in-first-out (FIFO) memories which receive the stream of image samples ISS and the stream of shape bits SBS, respectively. A new cluster of image samples is thus formed when a subsequent image sample in the stream of image samples ISS is written into the sample memory MEMSMPL, while deleting the image sample which has been first written into the sample memory MEMSMPL. Similarly, a new cluster of shape bits is formed when a subsequent shape bit in the stream of shape bits SBS is written into the shape memory MEMSHP, while deleting the shape bit which has been first written into the sample memory MEMSHP.

The filter arrangement FAR derives a filtered image sample from a cluster of image samples contained in the sample memory MEMSMPL. It does so in the following manner. The padding-value calculator PVC calculates a padding value PV on the basis of valid image pixels contained in the cluster. The padding-value calculator PVC can determine whether an image sample is valid or not by means of the shape bits contained in the shape memory MEMSHP.

There are various different manners in which the padding-value calculator PVC may derive the padding value PV from the valid image samples. For example, the padding value may be the arithmetic mean value of all valid image samples in a cluster. As an other example, the padding value PV be an approximated arithmetic mean value being the sum of all valid samples divided by a value which is the integer to the power of two nearest to number of valid samples. The calculation of this approximated arithmetic mean value requires one or more addition and bit-shift operations only. Such operations can be carried out relatively quickly and with relatively simple hardware. As yet an other example, the padding value PV may be the median value of all valid samples in the cluster. In that case, the padding-value calculator may be implemented using comparators and multiplexers only.

It may happen that a cluster of image samples stored in the sample memory MEMSMPL does not contain any image sample which is valid. In that case, the padding value calculator PVC may directly provide a filtered image sample. This is illustrated in Fig. 2 by means of a broken line. The filtered image sample may, in that case, be a do-not-care value or a non-valid sample which constitutes the core of the cluster, for example. In the following, it is assumed that there is at least one valid sample in the cluster of image samples.

The multiplexer MUX successively reads the shape bits contained in the shape memory MEMSHP. If a shape bit indicates that the image sample PX to which it is associated is valid, the multiplexer MUX supplies the value of image sample PX concerned to the filter FIL. If, however, a shape bit indicates that the image sample PX to which it is associated is not valid, the multiplexer MUX supplies the padding value PV to the filter FIL.

The filter FIL makes a weighed combination of the values it receives from the multiplexer MUX. The weighed combination of these values constitutes the filtered image sample PXF. The weighing factors are filter coefficients. There is a specific filter coefficient for each position in a cluster.

The filter arrangement FIL carries out the above-described operations for each different cluster of image samples and shape bits associated thereto. Accordingly, a collection of filtered image samples PXF will be obtained. The collection of filtered image samples constitutes the filtered image data FID.

The image processor PROC processes the filtered image data so as to obtain processed image data. The image processor PROC which may carry out an image processing operation like, for example, up-sampling, down-sampling or warping.

The drawings and their description hereinbefore illustrate rather than limit the invention. It will be evident that there are numerous alternatives which fall within the scope of the appended claims. In this respect, the following closing remarks are made.

There are numerous ways of physically spreading functions or functional elements over various units. In this respect, the drawings are very diagrammatic, each representing only one possible embodiment of the invention. Thus, although a drawing shows different functional elements as different blocks, this no by means excludes that some functional elements, or all functional elements, may be implemented as a single physical unit.

Referring to the MPEG-4 image processing arrangement described hereinbefore with reference to Fig. 4, there are numerous manners to form different clusters of image samples other than supplying a stream of image samples to a FIFO memory. For example, the receiving section REC may comprise a memory in which all image samples and the bitmap associated thereto are stored in accordance with a certain format. The filter arrangement FAR may then comprise an address generator which generates different groups of addresses. The image samples stored under a group of addresses forms a cluster from which a filtered image sample is derived.

Any reference sign in a claim should not be construed as limiting the claim.

Claims.

1. A method of filtering a collection (COL) of samples ( $S_i$ ), characterized in that the method comprises the steps of:

- distinguishing (DIS) between valid samples ( $S_i+$ ) and non-valid samples ( $S_i-$ ) on the basis of auxiliary data (AUX); and

5 - deriving (DER) filtered samples ( $S_o$ ) which are associated with the valid samples ( $S_i+$ ), exclusively on the basis of the valid samples ( $S_i+$ ).

2. A method of filtering as claimed in claim 1, characterized in that the method comprises the step of:

10 - deriving a filtered sample from a set of filter input values which is fixed in size; each filter input value being associated with a specific sample such that, if the sample is valid, the value of the sample is taken as the filter input value whereas, if the sample is not valid, a padding value is taken as the filter input value, the padding value being derived from at least one valid sample.

3. A method of filtering as claimed in claim 1, characterized in that the method  
15 comprises the step of:

- forming a cluster of samples;  
- calculating a padding value on the basis of valid samples in the cluster;  
- forming a set of filter values by taking for each valid sample the value of that sample and by taking for each non-valid sample the padding value;

20 - deriving a filtered sample from the cluster of filter input values.

4. A filter arrangement (FAR) for filtering a collection of samples ( $S_i$ ), characterized in that the filtering arrangement comprises:

- an input circuit for distinguishing between valid samples ( $S_i+$ ) and non-valid samples ( $S_i-$ ) on the basis of auxiliary data (AUX); and

25 - a filtering circuit for deriving filtered samples ( $S_o$ ) which are associated with the valid samples ( $S_i+$ ), exclusively on the basis of the valid samples ( $S_i+$ ).

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Abstract.

A collection of samples  $S_i$ , representing an image for example, is filtered in the following manner. A distinction is made between valid samples  $S_{i+}$  and non-valid samples  $S_{i-}$  on the basis of auxiliary data AUX. Filtered samples  $S_o$  which are associated with the valid samples  $S_{i+}$ , are derived exclusively on the basis of the valid samples  $S_{i+}$ .

Accordingly, it is prevented that the filtered samples which are associated with the valid samples, are mixtures of valid and non-valid samples. Such mixtures cause distortion, in particular if the valid and non-valid samples substantially differ in value.

Fig. 1

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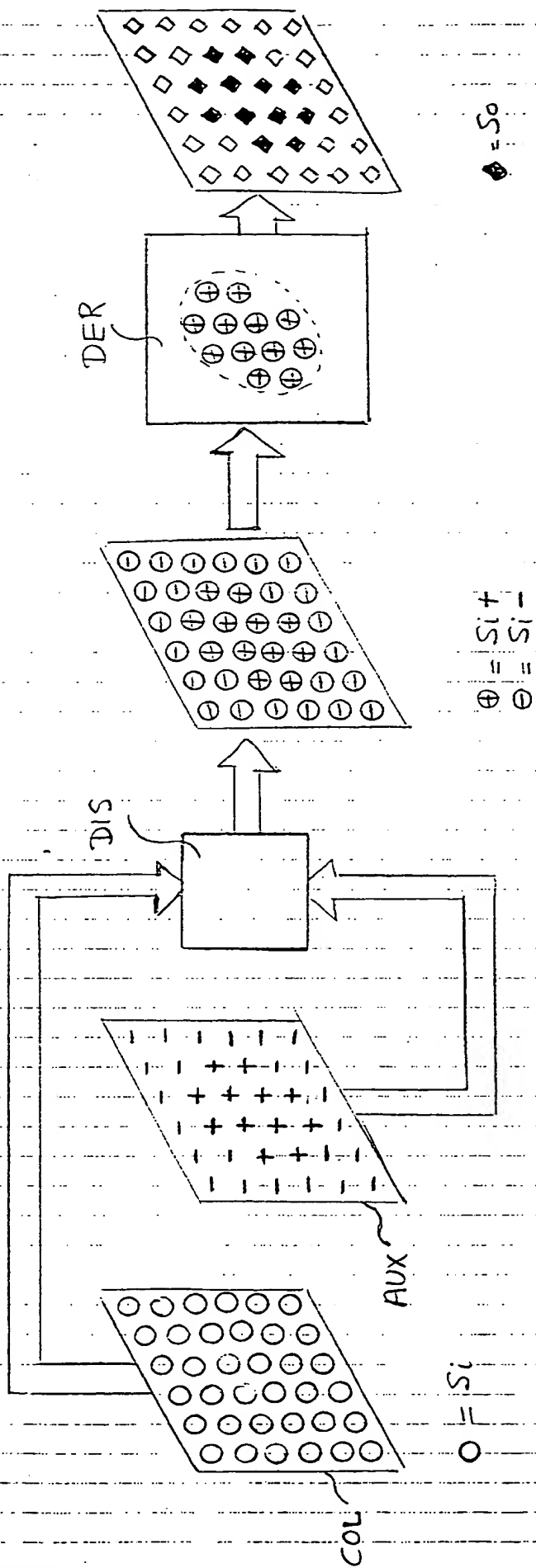


FIG. 1

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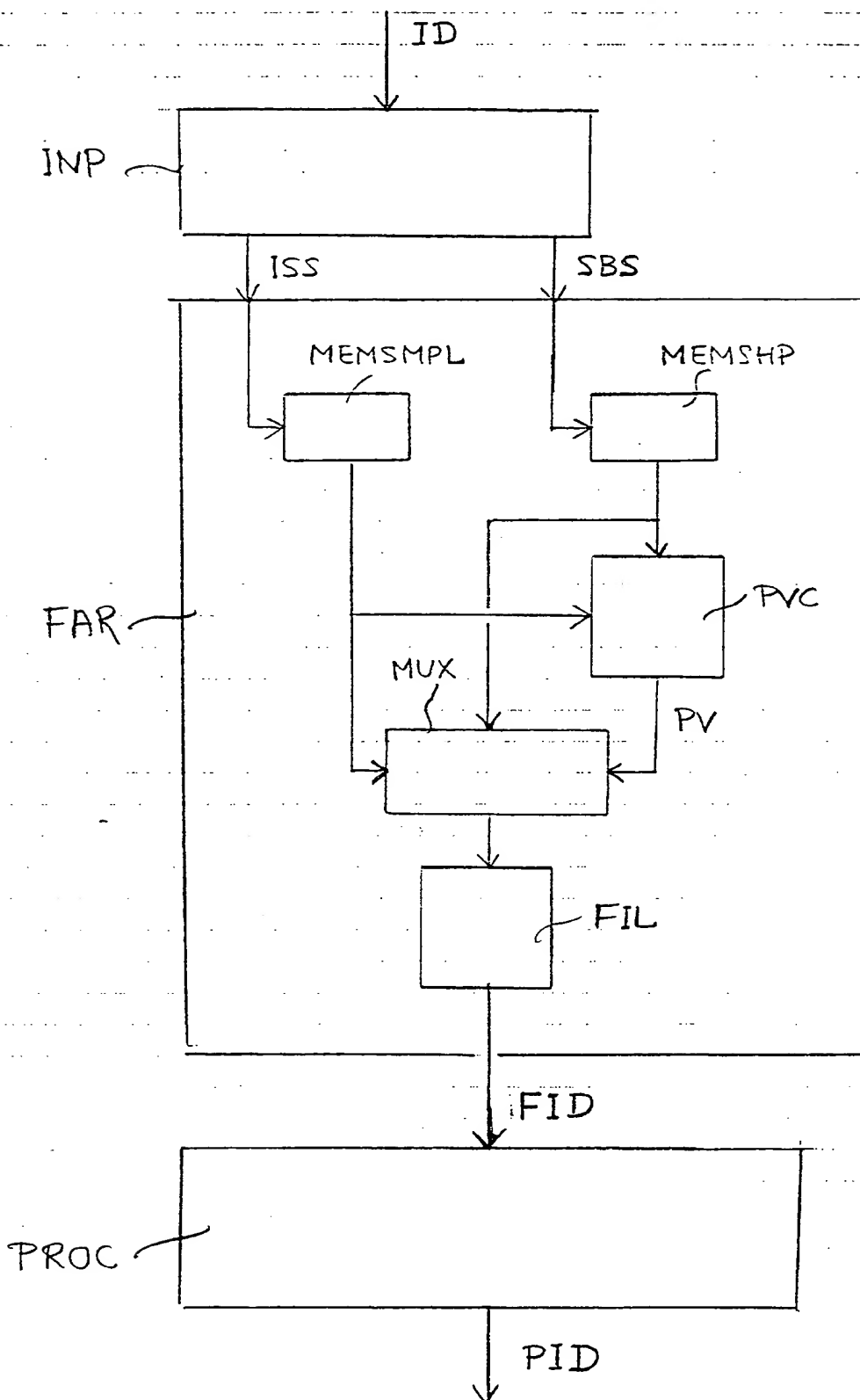
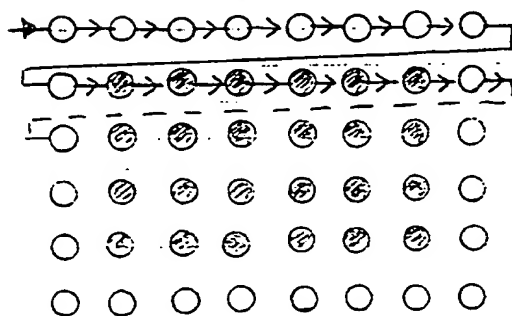


FIG.2

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● = PX

○ = PF

FIG.3

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